

Held NMS Stocks and Options Order Routing Public Report

Generated on Tuesday January 04, 2022 19:34:11 GMT-05:00 (Eastern Daylight Time)

4th Quarter, 2021

October 2021

S&P 500 Stocks

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00 | 0.00 | 0.00 | 0.00 | 100.00 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CSFB | 56.76 | 0.00 | 0.00 | 0.00 | 100.00 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | 14612.475900000 | 9.8307 |
| INCA | 43.24 | 0.00 | 0.00 | 0.00 | 100.00 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | -1048.164600000 | -3.6537 |

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|--|---|---|---|--|
| 100.00 | 0.00 | 0.00 | 0.00 | 100.00 |

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|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CSFB | 77.38 | 0.00 | 0.00 | 0.00 | 100.00 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | -3346.446258000 | -8.8546 |
| INCA | 22.62 | 0.00 | 0.00 | 0.00 | 100.00 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | -1848.544800000 | -9.2644 |

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|--|---|---|---|--|
| 100.00 | 0.00 | 0.00 | 0.00 | 100.00 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CSFB | 52.68 | 0.00 | 0.00 | 0.00 | 100.00 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | 2107.262700000 | 2.6894 |
| INCA | 47.32 | 0.00 | 0.00 | 0.00 | 100.00 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | -970.297500000 | -8.8378 |

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|--|---|---|---|--|
| 100.00 | 0.00 | 0.00 | 0.00 | 100.00 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CSFB | 81.30 | 0.00 | 0.00 | 0.00 | 100.00 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | -2922.974600000 | -6.7161 |
| INCA | 18.70 | 0.00 | 0.00 | 0.00 | 100.00 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | -1425.243500000 | -8.1681 |

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|--|---|---|---|--|
| 100.00 | 0.00 | 0.00 | 0.00 | 100.00 |

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|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CSFB | 52.41 | 0.00 | 0.00 | 0.00 | 100.00 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | 324.157500000 | 0.7611 |
| INCA | 47.59 | 0.00 | 0.00 | 0.00 | 100.00 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | 316.759100000 | 3.9865 |

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|--|---|---|---|--|
| 100.00 | 0.00 | 0.00 | 0.00 | 100.00 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CSFB | 74.60 | 0.00 | 0.00 | 0.00 | 100.00 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | -3439.823009700 | -11.9606 |
| INCA | 25.40 | 0.00 | 0.00 | 0.00 | 100.00 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | -360.711760000 | -2.2066 |