

Held NMS Stocks and Options Order Routing Public Report

Generated on Friday July 02, 2021 00:30:28 GMT-04:00 (Eastern Daylight Time)

2nd Quarter, 2021

April 2021

S&P 500 Stocks

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00 | 0.00 | 0.00 | 0.00 | 100.00 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CSFB | 48.76 | 0.00 | 0.00 | 0.00 | 100.00 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | -1350.213400000 | -3.3500 |
| INCA | 51.24 | 0.00 | 0.00 | 0.00 | 100.00 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | 368.216100000 | 6.4149 |

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|--|---|---|---|--|
| 100.00 | 0.00 | 0.00 | 0.00 | 100.00 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CSFB | 75.13 | 0.00 | 0.00 | 0.00 | 100.00 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | -2528.292600000 | -7.9477 |
| INCA | 24.87 | 0.00 | 0.00 | 0.00 | 100.00 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | -164.418400000 | -3.7251 |

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|--|---|---|---|--|
| 100.00 | 0.00 | 0.00 | 0.00 | 100.00 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CSFB | 51.08 | 0.00 | 0.00 | 0.00 | 100.00 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | -2768.709500000 | -2.7268 |
| INCA | 48.92 | 0.00 | 0.00 | 0.00 | 100.00 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | -297.442300000 | -3.4769 |

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Non-S&P 500 Stocks

Summary

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|--|---|---|---|--|
| 100.00 | 0.00 | 0.00 | 0.00 | 100.00 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CSFB | 76.39 | 0.00 | 0.00 | 0.00 | 100.00 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | -1639.770900000 | -8.6995 |
| INCA | 23.61 | 0.00 | 0.00 | 0.00 | 100.00 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | -259.650500000 | -7.3690 |

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|--|---|---|---|--|
| 100.00 | 0.00 | 0.00 | 0.00 | 100.00 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CSFB | 65.17 | 0.00 | 0.00 | 0.00 | 100.00 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | -1008.613900000 | -3.5839 |
| INCA | 34.83 | 0.00 | 0.00 | 0.00 | 100.00 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | -2464.292900000 | -14.7684 |

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Non-S&P 500 Stocks

Summary

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|--|---|---|---|--|
| 100.00 | 0.00 | 0.00 | 0.00 | 100.00 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CSFB | 85.31 | 0.00 | 0.00 | 0.00 | 100.00 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | -1878.693200000 | -7.4633 |
| INCA | 14.69 | 0.00 | 0.00 | 0.00 | 100.00 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | 0.000000000 | 0.0000 | -3866.008200000 | -14.2569 |